

TO: Board of Regents  
 FROM: Deborah Kraft  
 DATE: March 24, 2016  
 SUBJECT: Investment Transactions Report

Report Date: February 29, 2016

Investment Position:

As of February 29, 2016, Alvin Community College had \$ 11,800,000 invested in six certificates of deposit purchased through First National Bank-Alvin, one certificate purchased through BBVA Compass Bank, one certificate of deposit purchased through Texas Advantage Bank and two certificates of deposit purchased through the CDARS program using Texas Advantage Bank.


Summary:


Beginning market value		12/1/2015	\$	2,500,000
Additions/changes to the market value:				
	a.	sales of CDs		(6,700,000)
	b.	purchases of CDs, value at 2/29/16		16,000,000
Ending market value		2/29/2016	\$	11,800,000
Fully accrued interest			\$	7,055


Pooled Funds:

The \$ 11,800,000 currently invested was taken from the following major fund groups:  
 \$ 11,800,000 General Fund 11

We certify that the investments made during this reporting period are in compliance with Alvin Community College's Investment Policy and the Texas Government Code.

  
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**ALVIN COMMUNITY COLLEGE**  
Investment Schedule

Below is a list of Alvin Community College's investments for the period December 1, 2015 to February 29, 2016. All securities have been purchased according to the investment policy approved by the Board of Regents at the August 27, 2015 board meeting.

CD No.	Purchase Date	Due Date	Interest Rate	Term	Days Held	Principal	Interest Earned	Accrued Interest	Total
<b>BEGINNING INVESTMENTS:</b>									
6719567639	11/06/13	04/06/16	1.242%	882		250,000			250,000
520890	02/21/14	02/21/17	1.300%	1096		250,000			250,000
1016372518	02/27/14	02/23/17	1.100%	1092		250,000			250,000
1017566829	02/19/15	02/15/18	1.100%	1092		250,000			250,000
200000222	11/05/15	12/04/15	0.220%	29		1,500,000			1,500,000
Subtotal for CD Investments for Beginning of the Period						\$ 2,500,000	\$ -	\$ -	\$ 2,500,000
<b>SALES:</b>									
200000222	11/05/15	12/04/15	0.220%	29		1,500,000	262		1,500,262
200000225	12/08/15	01/25/16	0.390%	48		1,700,000	872		1,700,872
200000227	12/23/15	02/02/16	0.360%	41		1,500,000	607		1,500,607
200000228	12/23/15	02/23/16	0.360%	62		2,000,000	1,223		2,001,223
Total Sales						6,700,000	2,964	-	6,702,964
<b>PURCHASES:</b>									
200000225	12/08/15	01/25/16	0.390%	48		1,700,000			1,700,000
200000227	12/23/15	02/02/16	0.360%	41		1,500,000			1,500,000
200000228	12/23/15	02/23/16	0.360%	62		2,000,000			2,000,000
200000229	12/30/15	03/01/16	0.370%	62		1,400,000			1,400,000
200000230	01/07/16	03/24/16	0.325%	77		1,800,000			1,800,000
200000238	01/28/16	04/04/16	0.415%	67		1,800,000			1,800,000
200000239	02/03/16	04/04/16	0.460%	61		1,800,000			1,800,000
200000240	02/03/16	05/09/16	0.460%	96		1,800,000			1,800,000
200000241	02/08/16	04/25/16	0.460%	77		2,200,000			2,200,000
Total Purchases						16,000,000	-	-	16,000,000
<b>ENDING INVESTMENTS:</b>									
6719567639	11/06/13	04/06/16	1.242%	882	90	250,000		766	250,766 <sup>a</sup>
520890	02/21/14	02/21/17	1.300%	1096	90	250,000		801	250,801 <sup>b</sup>
1016372518	02/27/14	02/23/17	1.100%	1092	90	250,000		678	250,678 <sup>c</sup>
1017566829	02/19/15	02/15/18	1.100%	1092	90	250,000		678	250,678 <sup>c</sup>
200000229	12/30/15	03/01/16	0.370%	62	61	1,400,000		866	1,400,866
200000230	01/07/16	03/24/16	0.325%	77	53	1,800,000		849	1,800,849
200000238	01/28/16	04/04/16	0.415%	67	32	1,800,000		655	1,800,655
200000239	02/03/16	04/04/16	0.460%	61	26	1,800,000		590	1,800,590
200000240	02/03/16	05/09/16	0.460%	96	26	1,800,000		590	1,800,590
200000241	02/08/16	04/25/16	0.460%	77	21	2,200,000		582	2,200,582
Total for End of Period for CD Investments						\$ 11,800,000	\$ -	\$ 7,055	\$ 11,807,055

<sup>a</sup> BBVA Compass Bank

<sup>b</sup> Texas Advantage Bank

<sup>c</sup> Texas Advantage CDARS (Avenue Bank, John Marshall Bank, WesBanco Bank, Inc., Morton Community Bank and Peoples Security Bank & Trust Co.)

**ALVIN COMMUNITY COLLEGE FOUNDATION**  
**Investment Schedule**

Below is a list of Alvin Community College Foundation's investments for the period December 1, 2015 through February 29, 2016. All securities have been purchased according to the investment policy approved by the ACC Foundation Board of Directors at the February 17, 2015 board meeting.

BEGINNING INVESTMENTS 12/1/15	\$	2,509,292
Increases In Account		
Deposits		6,913
Sales Proceeds/Redemptions		-
Dividends		21,119
Interest		3
Capital Gains		89,429
Securities Purchased		110,548
Positive Change in value of priced securities		-
Decreases in Account		
Fees		(5,040)
Cash Withdrawals		(34,892)
Funds Used to Purchase Securities		(110,548)
Securities sold/redeemed		-
Negative Change in value of priced securities		<u>(292,184)</u>
ENDING INVESTMENTS 2/29/16	\$	<u>2,294,640</u>